

1473 Reg'd PCT/PCTO 27 JAN 2006

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 (REV. 10-2003)		ATTORNEY'S DOCKET NUMBER 211A 3789 PCT
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NUMBER (if known, see 37 C.F.R. 1.5) 10/556,651
INTERNATIONAL APPLICATION NO. PCT/JP2004/006464	INTERNATIONAL FILING DATE May 13, 2004	PRIORITY DATE CLAIMED May 13, 2003
TITLE OF INVENTION OPTICAL INFORMATION RECORDING/REPRODUCTION DEVICE AND METHOD		
APPLICANT(S) FOR DO/EO/US HIDEYOSHI HORIMAI		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none">1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (20) indicated below.4. <input type="checkbox"/> The US has been elected (Article 31).5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none">a. <input type="checkbox"/> is attached hereto (required only if not transmitted by the International Bureau).b. <input type="checkbox"/> has been communicated by the International Bureau.c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none">a. <input type="checkbox"/> is attached heretob. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<ol style="list-style-type: none">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).b. <input type="checkbox"/> have been communicated by the International Bureau.c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.d. <input type="checkbox"/> have not been made and will not be made.8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 19 below concern document(s) or information included:		
<ol style="list-style-type: none">11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.13. <input type="checkbox"/> A preliminary amendment.14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.15. <input type="checkbox"/> A substitute specification.16. <input type="checkbox"/> A power of attorney and/or change of address letter.17. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).18. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).19. <input type="checkbox"/> Other items or information: _____ _____ _____		

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/556,651	INTERNATIONAL APPLICATION NUMBER PCT/JP2004/006464	ATTORNEY'S DOCKET NUMBER 211A 3789 PCT			
20. <input type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY			
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):					
Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1,000					
International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$1,000					
International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO\$770					
International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$200					
International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$100					
ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 0					
Surcharge of \$130 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 C.F.R. 1.492(e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 \$ 130					
CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE	
Total claims		20	0	\$.50	\$ 0
Independent claims		3	0	\$ 200	\$ 0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+	\$360	\$ 0
		TOTAL OF ABOVE CALCULATIONS = \$130			
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$65
		SUBTOTAL = \$ 65			
Processing fee of \$130 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).		<input type="checkbox"/> 20	<input type="checkbox"/> 30	+ \$ 0	
		TOTAL NATIONAL FEE = \$ 65			
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40 per property			+	\$ 0	
		TOTAL FEES ENCLOSED = \$ 65			
02/01/2006 MKAY PAGH 00000069 10556651					
01 FC:2617					
65.00 OP					
\$					
		Amt. Refunded \$			
		Amt. charged			
<p>a. <input checked="" type="checkbox"/> Check in the amount of <u>\$ 65</u> to cover the above fees are enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 11-1445 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO: KODA & ANDROLIA 2029 Century Park East, Suite 1140 Los Angeles, CA 90067-2983 Dated: January 27, 2006</p>					
 <p>SIGNATURE William L. Androlia REG.NO. 27,177</p>					
<p>Form PTO-1390 (REV 10-2003)</p>					

10/556651

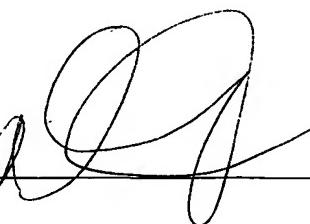
JCC4 Res'd PCT/PTO 12 NOV 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EV714371907US addressed to:

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

on November 11, 2005, by Daphne Turban.

Dated: 11/11/2005

By: 

211A 3789 PCT

NAKAO & ITO

Applicant(s):

HIDEYOSHI HORIMAI

For:

**OPTICAL INFORMATION RECORDING/REPRODUCTION
DEVICE AND METHOD**